

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:) Group Art Unit: 2652
POLLARD, et al.)
Serial No.: 10/604,124) Examiner: not yet assigned
Filed: June 26, 2003) Confirmation No.: 1123
Atty. File No.: 47320.0134)
(Amended from 47320.0135))
For: "MAGAZINE-BASED DATA)
CARTRIDGE LIBRARY")
Office of Initial Patent Examination's)
Filing Receipt Corrections)
Commissioner for Patents)
Washington, DC 20231)

REQUEST FOR CORRECTION
OF FILING RECEIPT

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON <u>Jan. 20, 2004</u> .	
HOLLAND & HART LLP	
BY:	

Dear Sir:

The official Filing Receipt for the above-identified patent application contains the following
clerical error:

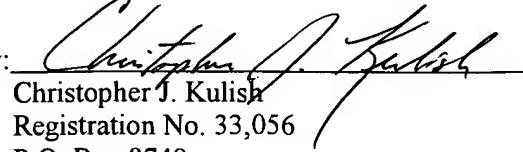
1. The Attorney Docket Number is shown as 47320.0135 when the Attorney Docket Number
should be 47320.0134, as set forth in the Amendment of Attorney Docket Number filed on November 19,
2003.

For your reference, a copy of the Filing Receipt is enclosed with the corrections indicated in red.
Also enclosed is a copy of the Amendment of Attorney Docket Number. Please issue a corrected Filing
Receipt for this patent application.

Respectfully submitted,

HOLLAND & HART LLP

By:


Christopher J. Kulish
Registration No. 33,056
P.O. Box 8749
Denver, Colorado 80201-8749
(303) 473-2700, x2731

Date: January 20, 2004
3181115.1.DOC



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Patent of:

POLLARD et al.

Serial No.: 10/604,124

Filed: June 26, 2003

Atty. File No.: 47320.0134 (Amended)

For: "MAGAZINE-BASED DATA
CARTRIDGE LIBRARY"

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

) Art Unit: 2652
)
) Examiner: not yet assigned.
)
) Confirmation No.: 1123
)

AMENDMENT OF ATTORNEY
DOCKET NUMBER

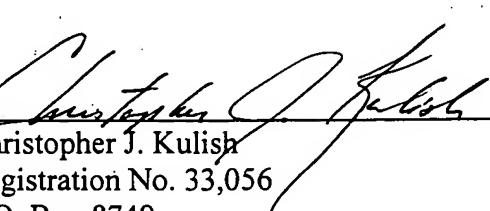
CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313 ON <u>Nov. 19, 2003</u> .	
BY:  HOLLAND & HART LLP	

Dear Sir:

Please amend the Attorney Docket Number of the above-identified patent application from 47320.0135 to 47320.0134 as indicated above.

Respectfully submitted,

HOLLAND & HART LLP

By: 
Christopher J. Kulish
Registration No. 33,056
P.O. Box 8749
Denver, Colorado 80201-8749
(303) 473-2700, x2731

Date: November 19, 2003

Initials: CJK/ss

PTO Stamp indicates receipt of: Patent Matter
Application Docket No.: 47320.0134 (Amended)

Inventor: Pollard et al.

Title or Mark: MAGAZINE-BASED DATA CARTRIDGE LIBRARY
Serial/Reg. No.: 10/604,124

Filed/Issued Date: June 26, 2003

Certificate of Mailing

Express Mail No.:

Check for \$

LIST ALL DOCUMENTS BEING SENT TO USPTO:

1) Amendment of Attorney Docket Number

Date: November 19, 2003
 Trademark Matter

— No. of Pages in Specification
— No. of Pages in Claim
— No. of Sheets of Drawings

Initials: CJK/ss

PTO Stamp indicates receipt of: Patent Matter
Application Docket No.: 47320.0134 (Amended)

Inventor: Pollard et al.

Title or Mark: MAGAZINE-BASED DATA CARTRIDGE LIBRARY
Serial/Reg. No.: 10/604,124

Filed/Issued Date: June 26, 2003

Certificate of Mailing

Express Mail No.:

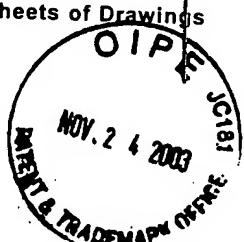
Check for \$

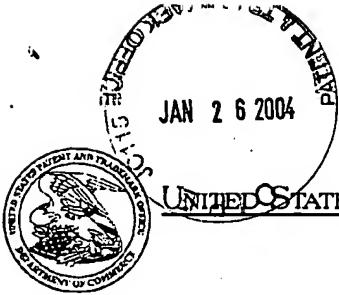
LIST ALL DOCUMENTS BEING SENT TO USPTO:

1) Amendment of Attorney Docket Number

Date: November 19, 2003
 Trademark Matter

— No. of Pages in Specification
— No. of Pages in Claim
— No. of Sheets of Drawings





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/604,124	06/26/2003	2652	375	47320-0136	136	88	7

47320.0134

CONFIRMATION NO. 1123

25928
 CHRISTOPHER J. KULISH, ESC
 HOLLAND & HART LLP
 P. O. BOX 8749
 DENVER, CO 80201-8749

Mail Ledger	1-12-04
Docketed	MC
Due Date	

FILING RECEIPT



OC000000011655079

Date Mailed: 01/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christopher A. Pollard, Monument, CO;
 Christopher J. Kulish, Residence Not Provided, Legal Representative;
 Lee A. Sutherland, Longmont, CO;
 Christopher J. Kulish, Residence Not Provided, Legal Representative;

RECEIVED

JAN 12 2004

Holland & Hart LLP

Assignment For Published Patent Application

SPECTRA LOGIC CORPORATION, Boulder, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 09/09/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Magazine-Based Data Cartridge Library

Preliminary Class

360

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).